

# LECTURE 12 (QUADRATIC INTEGERS, from Chapter 7 of Stillwell's Elements of Number Theory.) <sup>①</sup>

## §7.1 THE EQUATION $y^3 = x^2 + 2$

Diophantus was aware of many rational sol<sup>ns</sup> of  $y^3 = x^2 + 2$  and also the integer sol<sup>n</sup>  $x = 5$  and  $y = 3$ . In 1657 Fermat ~~showed~~ claimed  $\nexists$  any other sol<sup>ns</sup> in  $\mathbb{N}$ . As usual, Euler proved this claim (in 1770) by assuming unique prime factorization in

$$\mathbb{Z}[\sqrt{-2}] = \{a + b\sqrt{-2} \mid a, b \in \mathbb{Z}\}$$

Let's retrace Euler's argument (we postpone proof of some of these assertions until later)

Suppose  $y^3 = x^2 + 2$  for some  $x, y \in \mathbb{Z}$  then we have factorization below in  $\mathbb{Z}[\sqrt{-2}]$

$$y^3 = (x - \sqrt{-2})(x + \sqrt{-2})$$

Assume  $x - \sqrt{-2} \nmid x + \sqrt{-2}$  are relatively prime in  $\mathbb{Z}[\sqrt{-2}]$  and a unique prime factorization, this implies these factors are themselves cubes. That is:

$$\begin{aligned}x - \sqrt{-2} &= (a + b\sqrt{-2})^3 \text{ for some } a, b \in \mathbb{Z} \\ &= a^3 + 3a^2b\sqrt{-2} + 3a(b\sqrt{-2})^2 + (b\sqrt{-2})^3 \\ &= a^3 + 3a^2b\sqrt{-2} - 6ab^2 - 2b^3\sqrt{-2} \\ &= a^3 - 6ab^2 + (3a^2b - 2b^3)\sqrt{-2}\end{aligned}$$

Equating real & imaginary parts of the above yields:

$$\text{Re: } x = a^3 - 6ab^2$$

$$\text{Im: } 1 = 2b^3 - 3a^2b = b(2b^2 - 3a^2) \Rightarrow b \mid 1 \Rightarrow b = \pm 1$$

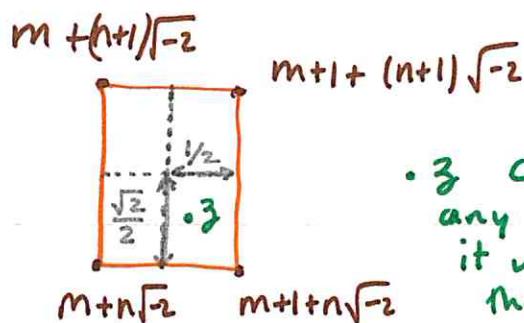
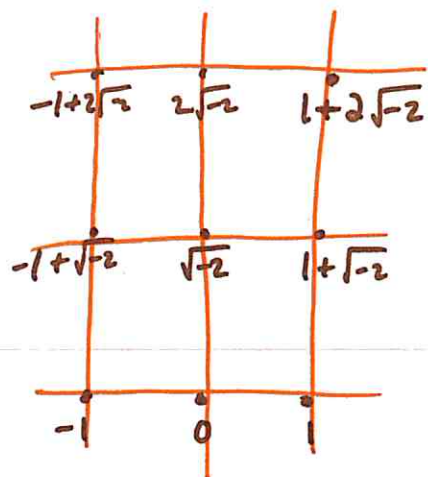
Thus  $2b^2 - 3a^2 = 2 - 3a^2 \Rightarrow 2b^2 - 3a^2 = -1 \nmid b = -1$  (I think).

Therefore,

$$\boxed{\begin{aligned}x &= (-1)^3 - 6(-1)(-1)^2 = -1 + 6 = 5. \\ y &= \sqrt[3]{5^2 + 2} = \sqrt[3]{27} = 3.\end{aligned}}$$

## § 7.2 THE DIVISION PROPERTY IN $\mathbb{Z}[\sqrt{-2}]$ :

(2)



$z$  could be in any quadrant, but it will be no more than  $\frac{1}{2}$  horiz. and  $\frac{\sqrt{2}}{2}$  vertically from nearest corner point.

$$z = \frac{\alpha}{\beta} = x + y\sqrt{-2} \quad \text{choose } a + b\sqrt{-2} \stackrel{\text{def}}{=} \mu$$

which is closest to  $z$  and see geometrically we have

$$|x-a| \leq \frac{1}{2} \quad \text{and} \quad |y-b| \leq \frac{\sqrt{2}}{2}$$

Let  $\rho = \alpha - \mu\beta$ . Observe

$$|\rho| < |\beta| \iff \left| \frac{\rho}{\beta} \right| < 1 \iff \left| \frac{\alpha}{\beta} - \mu \right| < 1 \iff |x-a+(y-b)\sqrt{-2}| < 1$$

But,

$$|x-a+(y-b)\sqrt{-2}| \leq \sqrt{\left(\frac{1}{2}\right)^2 + \left(\frac{\sqrt{2}}{2}\right)^2} = \frac{\sqrt{3}}{2} < 1$$

Thus  $|\rho| < |\beta|$  and we have shown,

Thy Division Property for  $\mathbb{Z}[\sqrt{-2}]$ . For non zero  $\alpha, \beta \in \mathbb{Z}[\sqrt{-2}]$  there exists  $\mu = a + b\sqrt{-2}$  and  $\rho \in \mathbb{Z}[\sqrt{-2}]$  for which  $\alpha = \mu\beta + \rho$  and  $\text{norm}(\rho) < \text{norm}(\beta)$

Def<sup>2/</sup>  $\text{norm}(a + b\sqrt{-2}) = a^2 + 2b^2$ .

Notation:  $\sqrt{-2} = i\sqrt{2}$  so  $a + ib\sqrt{2} = z$  and

as before,  $\text{norm}(z) = z\bar{z} \implies \text{norm}(zW) = \text{norm}z \text{norm}W$ .

A unit in  $\mathbb{Z}[\sqrt{-2}]$  is a divisor of 1. But,  
 $u|1 \Rightarrow 1 = uv$  for  $u, v \in \mathbb{Z}[\sqrt{-2}]$  and  
 so  $norm(1) = norm(u)norm(v)$ . Notice  $norm(z) \geq 0$   
 thus as  $norm(1) = 1^2 = 1$  we need  $norm(u) = 1$ .

If  $u = a + b\sqrt{-2}$  then  $norm(u) = a^2 + 2b^2 = 1$   
 for  $a, b \in \mathbb{Z}$  we obtain  $a = \pm 1, b = 0$  thus,

The only units in  $\mathbb{Z}[\sqrt{-2}]$  are simply  $\pm 1$

Suppose a cube  $y^3 = st$  for  $s, t$  a relatively prime pair in  $\mathbb{Z}[\sqrt{-2}]$ . Since  $s, t$  share no factor except possibly  $\pm 1$  it follows that  $s, t$  are themselves cubes ( $\pm 1$  also cubes so we can absorb them wlog)

Comment: relatively prime factors of a cube are themselves cubes inside  $\mathbb{Z}[\sqrt{-2}]$ .

§7.3 The gcd in  $\mathbb{Z}[\sqrt{-2}]$

Prop: If  $\alpha | \gamma$  then  $norm(\alpha) | norm(\gamma)$

Proof: If  $\alpha | \gamma$  then  $\exists m \in \mathbb{Z}[\sqrt{-2}]$  s.t.  $\gamma = m\alpha$  hence by multiplicative prop. of norm,  $norm(\gamma) = norm(m)norm(\alpha)$  thus, as  $norm(m) \in \mathbb{Z}$ , we conclude  $norm(\alpha) | norm(\gamma)$ . //

Cor: if  $\delta | \alpha$  and  $\delta | \beta$  then  $norm(\delta)$  is a common divisor of  $norm(\alpha)$  and  $norm(\beta)$

In view of these facts we study  $y^3 = x^2 + 2$  factoring to  $y^3 = (x - \sqrt{-2})(x + \sqrt{-2}) \rightarrow$

continuing, what is  $\gcd(x - \sqrt{-2}, x + \sqrt{-2})$ ? (4)

(assuming  $x$  is part of sol<sup>n</sup> to  $y^3 = x^2 + 2$ .)

ok, if  $y^3 = x^2 + 2$  then  $x$  must be odd. Why?

If  $x$  is even then  $x^2 + 2 \equiv 2 \pmod{4}$

whereas  $y^3 \equiv 0, 1$  or  $3 \pmod{4} \therefore x^2 + 2 \neq y^3$

for any even  $x$ .  $0^3 \equiv 0, 1^3 \equiv 1, 2^3 \equiv 0, 3^3 \equiv 3 \pmod{4}$ .

$$\text{norm}(y^3) = \text{norm}(x^2 + 2)$$

$$= \text{norm}((x - \sqrt{-2})(x + \sqrt{-2}))$$

$$= \text{norm}(x - \sqrt{-2}) \text{norm}(x + \sqrt{-2})$$

If  $x$  odd then  $x^2$  is odd  $\Rightarrow x^2 + 2$  is odd

$\therefore y^3$  is odd and  $\text{norm}(y^3) = (y^3)^3$  is also odd

$\Rightarrow \text{norm}(x - \sqrt{-2})$  AND  $\text{norm}(x + \sqrt{-2})$  odd.

Observe  $(x + \sqrt{-2}) - (x - \sqrt{-2}) = 2\sqrt{-2}$ . Since  $\gcd(x - \sqrt{-2}, x + \sqrt{-2}) = d$  has  $d \mid x - \sqrt{-2}$  &  $d \mid x + \sqrt{-2} \Rightarrow d \mid 2\sqrt{-2}$ .

The  $\text{norm}(2\sqrt{-2}) = 8$ . Observe  $\gcd(8, \overbrace{x^2 + 2}^{\text{odd}}) = 1$

Hence,  $\gcd(x - \sqrt{-2}, x + \sqrt{-2}) = 1$ .

- THIS COMPLETES EULER'S PROOF THAT  $x = 5, y = 3$  IS THE ONLY SOLUTION IN  $\mathbb{N}$  for  $y^3 = x^2 + 2$ ; the cube  $y^3$  factorizes into relatively prime  $(x - \sqrt{-2})(x + \sqrt{-2})$  which are cubes by unique prime factorization in  $\mathbb{Z}[\sqrt{-2}]$  & the fact  $1 = 1^3, -1 = (-1)^3$ . Hence  $x - \sqrt{-2} = (a + b\sqrt{-2})^3$  and we calculate as in §7.1,

# § 7.4 $\mathbb{Z}[\sqrt{-3}]$ and $\mathbb{Z}[\zeta_3]$

We've had fun investigating  $\mathbb{Z}[i]$  &  $\mathbb{Z}[\sqrt{-2}]$ . What next?  
 Consider  $\mathbb{Z}$  adjoin  $\sqrt{-3}$ ,

$$\mathbb{Z}[\sqrt{-3}] = \{ a + b\sqrt{-3} \mid a, b \in \mathbb{Z} \}$$

We find unique prime factorization fails in  $\mathbb{Z}[\sqrt{-3}]$ .  
 Consider, we have at least two distinct factorizations of 4,

$$4 = 2 \times 2 = (1 - \sqrt{-3})(1 + \sqrt{-3})$$

The norm works as usual,

$$\text{norm}(a + b\sqrt{-3}) = |a + b\sqrt{-3}|^2 = a^2 + 3b^2$$

$$\alpha \mid \gamma \Rightarrow \text{norm}(\alpha) \mid \text{norm}(\gamma)$$

Notice,  $\text{norm}(2) = 4$  and  $a^2 + 3b^2 \nmid 4$  except  $a^2 + 3b^2 = 1$

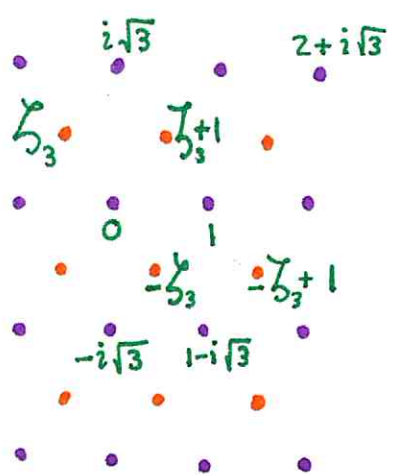
thus 2 is a prime in  $\mathbb{Z}[\sqrt{-3}]$ . Likewise

$\text{norm}(1 \pm \sqrt{-3}) = 1 + 3 = 4 \Rightarrow 1 \pm \sqrt{-3}$  also prime in  $\mathbb{Z}[\sqrt{-3}]$ .

## How TO FIX THIS ?

As illustrated on p. 124 of Stillwell, we can extend  $\mathbb{Z}[\sqrt{-3}]$   
 to  $\mathbb{Z}[\zeta_3]$  where  $\zeta_3 = \frac{-1 + \sqrt{-3}}{2} = \cos(\frac{2\pi}{3}) + i \sin(\frac{2\pi}{3})$

Eisenstein integers



$\sqrt{-3} = i\sqrt{3}$  [sorry, I'm tired of  $\sqrt{-3}$ , I miss  $i = \sqrt{-1}$   
 1777 Euler I think it's time to follow along.]

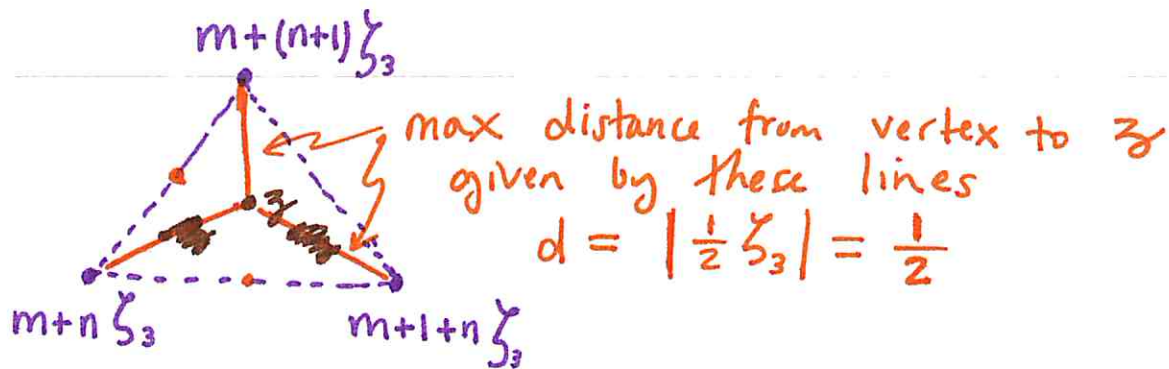
$$\zeta_3 = \frac{-1 + i\sqrt{3}}{2}$$

$$\zeta_3 + 1 = \frac{1 + i\sqrt{3}}{2}$$

$$2\zeta_3 + 1 = i\sqrt{3} = \sqrt{-3}$$

we can build all of  $\mathbb{Z}[\sqrt{-3}]$  with  $\zeta_3$ .

Th<sup>m</sup> / Division Property for  $\mathbb{Z}[\zeta_3]$ . For any  $\alpha, \beta \neq 0$  in  $\mathbb{Z}[\zeta_3]$  there are  $\mu, \rho$  in  $\mathbb{Z}[\zeta_3]$  with  $\alpha = \mu\beta + \rho$  and  $|\rho| < |\beta|$



Consider  $\frac{\alpha}{\beta} = \underbrace{x+y\zeta_3}_{z} \in \mathbb{Q}[\zeta_3]$

Let  $\mu = a+b\zeta_3$  where  $|x-a| \leq \frac{1}{2}$  and  $|y-b| < \frac{1}{2}$  and  $|z-\mu| \leq \frac{1}{2} < 1$ . However, as usual, we seek to define  $\rho$  for which  $|\rho| < |\beta|$ . Let  $\rho = \alpha - \mu\beta$

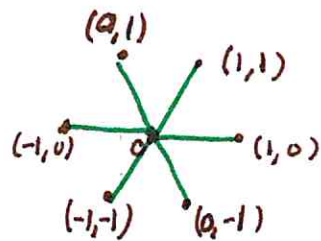
$$|\rho| < |\beta| \iff \left|\frac{\rho}{\beta}\right| < 1 \iff \left|\frac{\alpha}{\beta} - \mu\right| < 1 \iff |z - \mu| < 1$$

Hence  $|\rho| < |\beta|$  and we've established the Th<sup>m</sup>. //

UNITS IN  $\mathbb{Z}[\zeta_3]$ ? Need  $\text{norm}(a+b\zeta_3) = 1$  for usual reasons.

$$\begin{aligned} \text{norm}(a+b\zeta_3) &= \left| a + b\left(\frac{-1+i\sqrt{3}}{2}\right) \right|^2 = \left| \frac{2a-b}{2} + i\frac{b\sqrt{3}}{2} \right|^2 \\ &= \frac{1}{4} \left[ (2a-b)^2 + 3b^2 \right] \\ &= \frac{1}{4} \left[ 4a^2 - 4ab + 4b^2 \right] \\ &= \underline{a^2 - ab + b^2 = 1} \end{aligned}$$

all 6 points solve this eq<sup>n</sup> and clearly for  $|a|, |b| > 1$  no sol<sup>n</sup> can exist.



all distance 1 from zero hence units.

UNITS ARE  $\pm 1, \pm \zeta_3, \pm(1+\zeta_3)$  a.k.a.  $\pm \zeta_3^2$

QUADRATIC INTEGERS

The set  $\mathbb{Z}[\zeta_3]$  is a set of quadratic integers, but why is  $\frac{-1+\sqrt{-3}}{2}$  an "integer". Let us be systematic in our nomenclature (aka name-calling)

Def<sup>n</sup>/ A number  $\alpha \in \mathbb{C}$  is an algebraic integer if it solves a monic polynomial eq<sup>n</sup> with  $\mathbb{Z}$ -coeff, that is

$$\alpha^m + a_{m-1}\alpha^{m-1} + \dots + a_1\alpha + a_0 = 0$$

where  $a_0, a_1, \dots, a_{m-1} \in \mathbb{Z}$ . In particular, a quadratic integer solves  $x^2 + a_1x + a_0 = 0$ .

• Chapter 10 we study algebraic integers and show the sum, difference, product of alg. integers is once more alg. integers.

$$\begin{aligned} \zeta_3^3 = 1 &\Rightarrow \zeta_3 \text{ solves } x^3 - 1 = 0 \\ &\Rightarrow \zeta_3 \text{ solves } x^2 + x + 1 = 0 \text{ as we notice } x^3 - 1 = (x-1)(x^2 + x + 1) \end{aligned}$$

In fact  $\mathbb{Z}[\zeta_3]$  is formed by  $\mathbb{Z}$ -linear comb. of  $1$  &  $\zeta_3$ .

Th<sup>m</sup>/ EVERY RATIONAL ALGEBRAIC INTEGER IS AN ORDINARY INTEGER.

Proof: we seek to show: if  $r \in \mathbb{Q}$  solves  $x^m + a_{m-1}x^{m-1} + \dots + a_1x + a_0 = 0$  where  $a_{m-1}, \dots, a_1, a_0 \in \mathbb{Z}$  then  $r \in \mathbb{Z}$ . Consider  $r = \frac{s}{t}$  in lowest terms,  $\gcd(s, t) = 1$ , which solves  $x^m + \dots + a_0 = 0$ ,

$$\begin{aligned} \frac{s^m}{t^m} &= -a_{m-1}\frac{s^{m-1}}{t^{m-1}} - \dots - a_1\left(\frac{s}{t}\right) - a_0 \\ \Rightarrow s^m &= (-a_{m-1}s^{m-1} - \dots - a_1st^{m-2} - a_0t^{m-1})t \Rightarrow t \text{ factor of } s^m \end{aligned}$$

However,  $\gcd(s, t) = 1$  hence a prime factor of  $t$  and  $s$  can only be  $\pm 1$   
 $\therefore t = \pm 1 \Rightarrow r = \frac{s}{t} = \pm s \in \mathbb{Z}$ .  $\hookrightarrow$  monic poly.  $\mathbb{Z}$ -coeff Eq<sup>n</sup> have only  $\mathbb{Z}$ -sol<sup>s</sup> or irrational sol<sup>s</sup>.

§7.5 RATIONAL SOLUTIONS OF  $x^3 + y^3 = z^3 + w^3$

Hardy on Ramanujan:

"It was Littlewood who said that every positive integer was one of Ramanujan's personal friends. I remember going to see him once when he was lying ill at Putney. I had ridden in taxi-cab number 1729, and remarked that the number seemed to me a rather dull one, and I hoped it was not an unfavorable omen. "No," he replied, "it is a very interesting number; it is the smallest number expressible as the sum of two cubes in two different ways"

$1729 = 9^3 + 10^3 = 1^3 + 12^3$

Brouncker (1657) gave

- $9^3 + 15^3 = 2^3 + 16^3$  (4104)
- $15^3 + 33^3 = 2^3 + 34^3$  (34312)
- $16^3 + 33^3 = 9^3 + 34^3$  (40033)
- $19^3 + 24^3 = 10^3 + 27^3$  (20683)

Also, note worthy,  $3^3 + 4^3 = (-5)^3 + 6^3$

$3^3 + 4^3 + 5^3 = 6^3$

(like  $3^2 + 4^2 = 5^2$ , neat)

- the remainder of §7.5 describes a rational parametric sol<sup>n</sup> of  $x^3 + y^3 = z^3 + w^3$  due to (who else) Euler 1756.

§7.6 & 7.7 use  $\mathbb{Z}[\zeta_3]$  to study  $x^3 + y^3 = z^3$ .

Ultimately descent is used to show  $\nexists$  interesting sol<sup>n</sup>s. This is the start of the proof of Fermat's Last Theorem.

Fermat's Last Th<sup>m</sup> (FLT for short):  $\nexists$  interesting sol<sup>n</sup>s to  $x^n + y^n = z^n$  for  $n \geq 3$ . (Proof somewhat recent by Andrew Wiles)



## §7.8 DISCUSSION:

(9)

Algebraic numbers illuminate ordinary integer sol<sup>n</sup>'s, to a variety of eq<sup>n</sup>'s. In particular, the norm and its multiplicative prop has been of fundamental use to study:

- generated sol<sup>n</sup>'s to Pell Eq<sup>n</sup>  $x^2 - ny^2 = 1$  via powers of  $x_1 + y_1\sqrt{n}$  where  $(x_1, y_1)$  is smallest  $\mathbb{N}$ -sol<sup>n</sup>.
- find all rational sol<sup>n</sup>'s of  $x^3 + y^3 = z^3 + w^3$

Certain rings of alg. integers have the more subtle prop. of unique prime factorization like  $\mathbb{Z}[i]$ ,  $\mathbb{Z}[\sqrt{-2}]$  and  $\mathbb{Z}[\zeta_3]$ . This enabled us to capitalize on:

$$\begin{aligned}x^2 + y^2 &= (x - yi)(x + yi) \\x^3 + y^3 &= (x + y)(x + \zeta_3)(x + \zeta_3^2)\end{aligned}$$

to solve eq<sup>n</sup>'s which involve such algebra,

- primitive sol<sup>n</sup>'s to Pythagorean  $x^2 + y^2 = z^2$  by factoring  $x^2 + y^2$  in  $\mathbb{Z}[i]$
- Fermat's theorem that each prime  $p = 4n + 1$  is a sum of two squares was proved by showing  $p | m^2 + 1$  and factoring  $m^2 + 1$  in  $\mathbb{Z}[i]$
- integer sol<sup>n</sup>'s of  $y^3 = x^2 + 2$  found by factoring  $x^2 + 2$  in  $\mathbb{Z}[\sqrt{-2}]$ .
- nonexistence of sol<sup>n</sup> of  $x^3 + y^3 = z^3$  by factoring in  $\mathbb{Z}[\zeta_3]$  (we did not cover details at this point, it's involved!)

We saw unique factorization fails in  $\mathbb{Z}[\sqrt{-3}]$ . It turns out it fails for other cases like  $\mathbb{Z}[\sqrt{-5}]$ , but, ~~is~~ a nice way in  $\mathbb{C}$  to fix it...

- Lamé published wrong proof of FLT based on assumed unique prime factorization of  $x^n + y^n = (x + y)(x + \zeta_n y) \dots (x + \zeta_n^{n-1} y)$
- Kummer realized error and introduced "ideal #'s" to fix it
- Dedekind cleaned up ideal # concept & proved FLT for many  $n$ .