

LECTURE 22: (CHAPTER 11, IDEALS, §11.7-11.9) <sup>①</sup>  
from Stillwell's Elements of Number Theory

At last, we define the product of ideals.

Def<sup>n</sup>/ Let  $A, B$  be ideals in a ring  $R$  then

$$AB = \{ a_1 b_1 + a_2 b_2 + \dots + a_n b_n \mid a_j \in A, b_j \in B$$

is the product  $AB$ .  
for  $j=1, 2, \dots, n$  }

I add a little theorem here,

Th<sup>m</sup>/ Given ideals  $A, B$  of  $R$  the product  $AB$  is an ideal.

Proof: let  $z, w \in AB$  and  $r \in R$  then  $\exists a_j, b_j, c_j, d_j \in R$   
well,  $a_j, c_j \in A$  and  $b_j, d_j \in B$  for  $j=1, 2, \dots, n$ ,  
and  $l = 1, 2, \dots, k_2$ . Wlog let  $n = \max(k_1, k_2)$   
and assume

$$z = a_1 b_1 + \dots + a_n b_n$$

$$w = c_1 d_1 + \dots + c_n d_n$$

where we add zero to make the length of the  $\sum$  match if need be. With that obfuscation aside,

$$z + w = \underbrace{a_1 b_1 + c_1 d_1 + \dots + a_n b_n + c_n d_n}_{\text{sum of products from } A, B} \in AB$$

Likewise

$$\begin{aligned} r z &= r a_1 b_1 + r a_2 b_2 + \dots + r a_n b_n \xrightarrow{\text{using ideal}} r A \subseteq A. \\ &= a'_1 b_1 + a'_2 b_2 + \dots + a'_n b_n \in AB \end{aligned}$$

Hence  $AB$  is closed under  $+$  and multiplication from  $R$   $\therefore AB$  is an ideal. //

Def<sup>n</sup>/ An ideal  $P$  is PRIME if, whenever  $P \supseteq AB$ ,  $P$  contains  $A$  OR  $P$  contains  $B$

That is, if  $P \supseteq AB \Rightarrow P \supseteq A$  OR  $P \supseteq B$ .

or if you wish to be a West Virginian,

$$AB \subseteq P \Rightarrow A \subseteq P \text{ or } B \subseteq P.$$

At the level of elements,

$$ab \in P \Rightarrow a \in P \text{ or } b \in P.$$

Th<sup>m</sup>/ (Equivalent definitions for prime ideal) TFAE for an ideal  $P$ ,

$$(1.) AB \subseteq P \Rightarrow A \subseteq P \text{ or } B \subseteq P \text{ for ideals } A, B.$$

$$(2.) ab \in P \Rightarrow a \in P \text{ or } b \in P$$

Proof: (1)  $\Rightarrow$  (2). Suppose  $AB \subseteq P \Rightarrow A \subseteq P$  or  $B \subseteq P$ .

Let  $ab \in P \Rightarrow (ab) \subseteq P$  as  $P$  is an ideal and products of  $ab$  are once more

Note  $(a)(b) = \{ a_1 b_1 + \dots + a_n b_n \mid a_j \in (a), b_j \in (b) \}$  in  $P$ .

$$= \{ a_1 b_1 + \dots + a_n b_n \mid a_j = \alpha_j a, b_j = \beta_j b \}$$

$$= \{ \alpha_1 a \beta_1 b + \dots + \alpha_n a \beta_n b \mid \alpha_j, \beta_j \in R \}$$

$$= \{ (\alpha_1 \beta_1 + \dots + \alpha_n \beta_n) ab \mid \alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_n \in R \}$$

$$= (ab).$$

Thus,  $(ab) \subseteq P \Rightarrow (a)(b) \subseteq P \Rightarrow (a) \subseteq P$  or  $(b) \subseteq P$   
by assumption of (1)  $\therefore a \in P$  or  $b \in P$ . //

(2)  $\Rightarrow$  (1.) over  $\curvearrowright$



Proof continued:

(3)

(2.)  $\Rightarrow$  (1.) Assume  $ab \in P \Rightarrow a \in P$  or  $b \in P$ .

Furthermore, suppose  $AB \subseteq P$  and  $A \not\subseteq P$  for some ideals  $A, B \subseteq R$ . We seek to show  $B \subseteq P$ .

As  $A \not\subseteq P \Rightarrow \exists a \in A$  for which  $a \notin P$ . Thus,

$AB \subseteq P \Rightarrow ab \in P$  for all  $b \in B$  by def<sup>n</sup> of the product ideal  $AB$ .

Thus  $a \in P$  or  $b \in P$  by

assumption of (2.). Therefore  $b \in P$ . But

as  $b \in B$  was arbitrary we have  $B \subseteq P$ . //

Maximal and prime ideals are related, but, not the same...

Def<sup>n</sup> An ideal  $M$  in a ring  $R$  is maximal if  $M \neq R$ , but the only ideals containing  $M$  are  $R$  and  $M$  itself.

Th<sup>m</sup> Every maximal ideal is prime

Proof: suppose  $M$  is maximal ideal,  $ab \in M$  and  $a \notin M$ .

We seek to prove  $b \in M$ . Notice, as  $M$  is maximal and  $a \notin M$  we have the ideal extending  $M$  by  $a$

$$M[a] = \{ ar + ms \mid r, s \in R, m \in M \}$$

must be all of  $R$ . Thus  $1 \in M[a]$  and so

$$\exists r, s \in R \text{ s.t. } 1 = ar + ms \Rightarrow b = bar + bms$$

and as  $ba \in M \Rightarrow bar \in M$  by closure of  $M$  by  $R$ -mult.

and  $m \in M \Rightarrow m(bs) \in M$  again as  $bs \in R$  and

$M$  an ideal  $\therefore b = bar + bms \in M$  which

demonstrates the primality of  $M$  according to Th<sup>m</sup> on (2). //

## Examples of prime ideals in $\mathbb{Z}[\sqrt{-5}]$

(4)

- in §11.5 we argued  $(2) + (1 + \sqrt{-5})$  is maximal we conclude it is prime.
- We could also show  
 $J = (3) + (1 + \sqrt{-5}) = \{3m + (1 + \sqrt{-5})n \mid m, n \in \mathbb{Z}\}$   
is maximal since outside  $J$  we'd have  
 $3m' + 1 + (1 + \sqrt{-5})n'$  or  $3m'' + 2 + (1 + \sqrt{-5})n''$  \*\*  
type elements. If include \* then get 1  
hence  $K \supseteq J$  must have  $1 \in K \therefore K = R$ .  
If include \*\* in  $K \supseteq J$  then  $3, 2 \in K$   
 $\therefore 3 - 2 = 1 \in K \Rightarrow K = R$ .  
Thus  $J$  is maximal and hence prime.
- $\bar{J} = (3) + (1 - \sqrt{-5})$  is also maximal &  $\therefore$  prime.  
We say  $\bar{J}$  is the conjugate of  $J = (3) + (1 + \sqrt{-5})$   
so  $\bar{J} = \{\bar{z} \mid z \in J\}$ .

Comment: the shape of  $(3) + (1 + \sqrt{-5})$  is the same as  $(2) + (1 + \sqrt{-5})$ . This observation is given a systematic discussion in §12.7  
 $\mathbb{Z}[\sqrt{-5}]$  has class # 2 since (p. 231)  
 $\exists$  two shapes of ideals.



## §11.8 IDEAL PRIME FACTORIZATION

(5)

**Def<sup>n</sup>** an ideal  $B|A$  if  $\exists$  an ideal  $C$  such that  $A=BC$

How does this fit with our suggestion  $a|b$  be replaced with  $(a) \supseteq (b)$  and so  $B|A$  iff  $B \supseteq A$ ?

We begin with examples.

**Def<sup>n</sup>**  $(\alpha, \beta) = (\alpha) + (\beta)$

Example:  $(2, 1+\sqrt{-5}) = (2) + (1+\sqrt{-5})$ .

Seek an ideal  $C$  for which:

$$(2) = (2, 1+\sqrt{-5})C$$

Notice  $(2, 1+\sqrt{-5}) \supseteq (2)$  so we expect  $(2, 1+\sqrt{-5})|(2)$ .

Claim:  $(2) = (2, 1+\sqrt{-5})^2$

Proof: from definition of the product of ideals

$$4 = 2 \times 2 \in (2, 1+\sqrt{-5})^2$$

$$2+2\sqrt{-5} = 2 \times (1+\sqrt{-5}) \in (2, 1+\sqrt{-5})^2$$

$$-4+2\sqrt{-5} = (1+\sqrt{-5})(1+\sqrt{-5}) \in (2, 1+\sqrt{-5})^2$$

Thus  $4 + (2+2\sqrt{-5}) + (-4+2\sqrt{-5}) = 2 \in (2, 1+\sqrt{-5})^2$ .

It follows  $(2) = 2\mathbb{Z}[\sqrt{-5}] \subseteq (2, 1+\sqrt{-5})^2$ . Likewise,

$$\begin{aligned} z \in (2, 1+\sqrt{-5})^2 &\Rightarrow z = \sum_i (am + n(1+\sqrt{-5})) (2j + k(1+\sqrt{-5})) \\ &= \sum_j \underbrace{4mj + (2mk + 2nj)(1+\sqrt{-5})}_{\text{multiple of 2}} + \underbrace{nk(1+\sqrt{-5})^2}_{-4+2\sqrt{-5}} \end{aligned}$$

Thus  $z \in (2)$  therefore,  $(2, 1+\sqrt{-5})^2 \subseteq (2)$  and  $(2) \subseteq (2, 1+\sqrt{-5})^2$

Consequently  $(2, 1+\sqrt{-5})(2, 1+\sqrt{-5}) = (2)$ . //

$$\text{Claim: } (3) = (3, 1 + \sqrt{-5})(3, 1 - \sqrt{-5})$$

(6)

Proof: begin by noting a few identities which follow from arithmetic and the def<sup>n</sup> of the product ideal,

$$9 = 3 \times 3 \in (3, 1 + \sqrt{-5})(3, 1 - \sqrt{-5}) = \mathbb{J}\bar{\mathbb{J}}$$

$$6 = (1 + \sqrt{-5})(1 - \sqrt{-5}) \in (3, 1 + \sqrt{-5})(3, 1 - \sqrt{-5}) = \mathbb{J}\bar{\mathbb{J}}$$

Thus  $9 - 6 = 3 \in \mathbb{J}\bar{\mathbb{J}}$  as ideals are closed under subtraction.

Hence  $(3) \subseteq \mathbb{J}\bar{\mathbb{J}}$  as multiples of 3 are once again in  $\mathbb{J}\bar{\mathbb{J}}$ . Conversely,

suppose  $z \in \mathbb{J}\bar{\mathbb{J}}$  this means

$z$  is formed by sum of  $\mathbb{J}, \bar{\mathbb{J}}$  products,

$$\begin{aligned} z &= \sum (3m + n(1 + \sqrt{-5}))(3a + b(1 - \sqrt{-5})) \\ &= \sum (3^2ma + \cancel{3nb + 3na} + \cancel{nb(1 - \sqrt{-5}) + na(1 + \sqrt{-5})} \\ &\quad + 3mb(1 - \sqrt{-5}) + 3na(1 + \sqrt{-5}) + nb(1 + \sqrt{-5})(1 - \sqrt{-5})) \\ &= \sum \underbrace{3^2ma + 3mb(1 - \sqrt{-5}) + 3na(1 + \sqrt{-5}) + 6nb}_{\text{multiple of 3 in } \mathbb{Z}[\sqrt{-5}]} \end{aligned}$$

multiple of 3 in  $\mathbb{Z}[\sqrt{-5}] \in (3)$ .

Hence  $\mathbb{J}\bar{\mathbb{J}} \subseteq (3)$  and  $(3) \subseteq \mathbb{J}\bar{\mathbb{J}} \therefore (3) = \mathbb{J}\bar{\mathbb{J}}$  //

just giving it a name for my convenience.



$$\text{Claim: } (1 + \sqrt{-5}) = (2, 1 + \sqrt{-5})(3, 1 + \sqrt{-5})$$
$$(1 - \sqrt{-5}) = (2, 1 + \sqrt{-5})(3, 1 - \sqrt{-5})$$

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Proof: exercise for reader.

### FITTING THE PIECES TOGETHER

$$\begin{aligned} (6) &= (2)(3) \\ &= \underbrace{(2, 1 + \sqrt{-5})(2, 1 + \sqrt{-5})}_{\text{from (5)}} \underbrace{(3, 1 + \sqrt{-5})(3, 1 - \sqrt{-5})}_{\text{from (6)}} \\ &= (2, 1 + \sqrt{-5})(3, 1 + \sqrt{-5})(2, 1 + \sqrt{-5})(3, 1 - \sqrt{-5}) \\ &= (1 + \sqrt{-5})(1 - \sqrt{-5}) \end{aligned}$$

We see the distinct prime factorizations  
 $6 = 2 \times 3$  and  $6 = (1 + \sqrt{-5})(1 - \sqrt{-5})$  in  $\mathbb{Z}[\sqrt{-5}]$   
both follow from rearranging the prime ideal  
factorization of  $(6)$