

# LECTURE 25

(CHAPTER 12, §12.4 → ... from  
Stillwell's Elements of Number Theory)

①

Def<sup>n</sup> If  $A$  is an ideal then  $\bar{A} = \{\bar{z} \mid z \in A\}$

It turns out every ideal divides principal ideal, this is seen through  $\bar{A}$  as  $A\bar{A}$  is principal

Th<sup>m</sup> If  $R$  is the ring of integers for  $\mathbb{Q}(\sqrt{d})$  and  $d < 0$ , squarefree then any ideal  $A$  has  $A\bar{A} = (k)$  for some  $k \in \mathbb{Z}$ .

Proof:  $A$  an ideal of an imaginary quadratic field can be formed as a lattice by §11.6,  $A = \{m\alpha + n\beta \mid m, n \in \mathbb{Z}\}$   
Hence the conjugate ideal  $\bar{A} = \{\bar{m}\bar{\alpha} + \bar{n}\bar{\beta} \mid \bar{m}, \bar{n} \in \mathbb{Z}\}$   
Consider, by definition of product of ideals,

$$A\bar{A} = \{s\alpha\bar{\alpha} + t\beta\bar{\beta} + u\bar{\alpha}\beta + v\alpha\bar{\beta} \mid s, t, u, v \in \mathbb{Z}\}$$

Notice, the following elements are real ( $\bar{\bar{z}} = z$ )

$$\alpha\bar{\alpha} = \bar{\alpha}\alpha = \alpha\bar{\alpha} \quad \& \quad \beta\bar{\beta} = \bar{\beta}\beta \quad \& \quad \bar{\alpha}\beta + \alpha\bar{\beta} = \alpha\bar{\beta} + \bar{\alpha}\beta.$$

But, in §10.4 we proved real quadratic integers are ordinary integers (I'm not sure §10.4 is best, but I do recall talking about this somewhere). Thus

$$\alpha\bar{\alpha}, \beta\bar{\beta}, \bar{\alpha}\beta + \alpha\bar{\beta} \in \mathbb{Z}$$

$$\Rightarrow \gcd(\alpha\bar{\alpha}, \beta\bar{\beta}, \bar{\alpha}\beta + \alpha\bar{\beta}) \in \mathbb{Z}$$

$$\Rightarrow p\alpha\bar{\alpha} + q\beta\bar{\beta} + r(\bar{\alpha}\beta + \alpha\bar{\beta}) = k \text{ for some } p, q, r \in \mathbb{Z}.$$

Hence  $k \in A\bar{A} \Rightarrow (k) \subseteq A\bar{A}$ .

Conversely, to show  $(k) \supseteq A\bar{A}$ , we need to show  $k$  divides  $\alpha\bar{\alpha}, \beta\bar{\beta}, \bar{\alpha}\beta, \alpha\bar{\beta}$ .

↪ continued.

Proof continued: showing  $(h) \cong A\bar{A}$ ,

$h/\alpha\bar{\alpha} \cong h/\beta\bar{\beta}$  by construction of  $h$  as gcd.

If  $\alpha\bar{\beta}/h, \bar{\alpha}\beta/h \in R$  then  $h/\alpha\bar{\beta}$  and  $h/\bar{\alpha}\beta$ .

But, observe, the following has  $\mathbb{Z}$ -coeff

$$(x - \frac{\alpha\bar{\beta}}{h})(x - \frac{\bar{\alpha}\beta}{h}) = x^2 - (\frac{\alpha\bar{\beta} + \bar{\alpha}\beta}{h})x + \frac{\alpha\bar{\alpha}\beta\bar{\beta}}{h^2} = 0$$

and takes  $x = \frac{\alpha\bar{\beta}}{h}, \frac{\bar{\alpha}\beta}{h}$  as zeros thus  $\alpha\bar{\beta}/h, \bar{\alpha}\beta/h$  are quadratic integers as desired.

## §12.5 DIVISIBILITY AND CONTAINMENT

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We've suggested that "divides" be replaced with "contains".  
 We complete that investigation here for rings of integers  
 of an imaginary quadratic field. Recall  $ab|ac \Rightarrow b|c$  for  $a \neq 0$ .

**Th<sup>m</sup>:** If  $A, B, C$  are nonzero ideals of  $R$  and  $AB \supseteq AC$  then  $B \supseteq C$

Proof: if  $A = (\alpha)$  is principal then, (special case  $\star$ )

$$\begin{aligned} AB \supseteq AC &\Rightarrow (\alpha)B \supseteq (\alpha)C && : \text{(typo in text here)} \\ &\Rightarrow \alpha B \supseteq \alpha C && : \text{by Lemma below.} \\ &\Rightarrow B \supseteq C && : \text{multiplying by } \alpha^{-1} \text{ which} \\ &&& \text{exists as } (\alpha) = A \neq 0. \end{aligned}$$

Hence, in general,

$$\begin{aligned} AB \supseteq AC &\Rightarrow \bar{A}AB \supseteq \bar{A}AC && : \bar{A} \text{ conj. ideal of } A \\ &\Rightarrow (h)B \supseteq (h)C && : \text{\S 12.4 we showed} \\ &\Rightarrow B \supseteq C && : \text{by special case } \star \end{aligned}$$

$\bar{A}A = (h)$  for some  $h \in \mathbb{Z}$  in  $\mathbb{Q}(\sqrt{d})$  de u symmetric...

Lemma: for  $B, C \neq 0$  ideals of  $R$  and  $\alpha \in R, \alpha \neq 0$   
 If  $(\alpha)B \supseteq (\alpha)C$  then  $\alpha B \supseteq \alpha C$ .

Proof:  $AB = \{ a_1 b_1 + \dots + a_n b_n \mid a_j \in A, b_j \in B \text{ for } k \in \mathbb{N} \}$   
 Now,  $A = (\alpha) \Rightarrow a_j = \alpha^{m_j}$  for some  $m_j \in \mathbb{N}$ . (remove zeros)

$$\begin{aligned} \text{Hence } \exists z \in (\alpha)B &\Rightarrow z = \alpha^{m_1} b_1 + \dots + \alpha^{m_n} b_n \\ &= \alpha (\alpha^{m_1-1} b_1 + \dots + \alpha^{m_n-1} b_n) \in \alpha B \end{aligned}$$

Thus  $(\alpha)B \subseteq \alpha B$

Conversely it is clear  $\alpha B \subseteq (\alpha)B$

Hence  $(\alpha)B = \alpha B$  and likewise  $(\alpha)C = \alpha C$  thus

$$(\alpha)B \supseteq (\alpha)C \Rightarrow \alpha B \supseteq \alpha C \text{ as desired.}$$

Since  $B$  ideal this is just some element of  $B$   $\square$

- Multiplying by conjugate  $\bar{A}$  for  $A$  makes  $\underline{AA}$  principal. This is nice trick!  
Better yet,  $\bar{A}A = (k)$  for  $k \in \mathbb{Z}$  (4)

Th<sup>m</sup> / "Contains means divides"

If  $A \subseteq B$  are ideals of  $R$  and  $B \supseteq A$  then  $B|A$  in the sense that  $\exists$  an ideal  $C$  for which  $A = BC$ .

Proof: once again begin with special case;  $B = (\beta)$

$$B \supseteq A \Rightarrow (\beta) \supseteq A$$

$$\Rightarrow A \subseteq (\beta) = \{\beta r \mid r \in R\}$$

$$\Rightarrow \beta | a \text{ for each } a \in A \quad (\text{as } a \in A \Rightarrow \exists r \in R \text{ st. } a = \beta r)$$

$$\Rightarrow A = (\beta) \{r = a/\beta \mid a \in A\}$$

$$\Rightarrow A = BC. \quad \text{can argue this is an ideal.}$$

Of course, not all  $B$  are principal, but  $B\bar{B} = (k)$ , so:

$$B \supseteq A \Rightarrow \bar{B}B \supseteq A\bar{B}$$

(multiplying by  $\bar{B}$ )

$$\Rightarrow (k) \supseteq A\bar{B}$$

(§12.4)

$$\Rightarrow A\bar{B} = (k)C$$

(by special case above)

$$\Rightarrow A\bar{B} = \bar{B}BC$$

( $B\bar{B} = (k)$  once again)

$$\Rightarrow A = BC$$

(by Th<sup>m</sup> on cancellation of ideals we proved earlier in §12.5)

## §12.6 FACTORIZATION OF IDEALS

(5)

Here we show existence and uniqueness for the prime factorization of ideals of the ring  $R$  of integers in the imaginary quadratic field  $\mathbb{Q}(\sqrt{d})$ . The logic here is guided by prime  $\Leftrightarrow$  maximal ideal. For  $\mathbb{Z}$ , we found smaller & smaller factors, for ideals, we find larger & larger ideal factors with  $R$  as a ceiling. The proofs which follow are like those for  $\mathbb{Z}$ .

Existence: Every non zero ideal  $A \neq R$  is product of prime ideals.

Proof: If  $A$  is not prime then  $A$  not maximal, hence  $\exists$  ideal  $B \supsetneq A$  with  $B \neq R$ . Thus  $\exists C$  an ideal such that  $A = BC$  (since  $B \supsetneq A \Rightarrow B|A$  by §12.5) Then either  $C$  is prime or we can factor it as with  $A$  above. This process goes on until we run out of ~~non~~ ideals inside  $R$ . At each step we absorb at least one element of the form  $I + r$  and there are only finitely many such  $I + r$ . //

Uniqueness: the factorization of a non zero ideal is unique, up to the order of the factors.

Proof: as with  $\mathbb{Z}$ , the proof is by prime divisor property. Note, if  $P|AB$  then  $P|A$  or  $P|B$ . This is true since a prime ideal has

$$P \supseteq AB \Rightarrow P \supseteq A \text{ or } P \supseteq B$$

But, then by "contains means divides" theorem of §12.5 we find  $P|AB \Rightarrow P|A$  or  $P|B$ . Then see the arguments we gave back in Chapter 2 for  $\mathbb{Z}$ . //

(p. 29)

## §12.7 Ideal classes

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Th<sup>y</sup> / The class number of  $\mathbb{Z}[\sqrt{-5}]$  is 2

The class number is the # of ideal shapes in a given ring. For  $\mathbb{Z}[\sqrt{-5}]$  we either have  $\mathbb{Z}[\sqrt{-5}]$  or  $(\alpha)$  a principal ideal. The non-principal proof is found on p. 232, it's geometric and somewhat like arguments we've seen before.

In §12.9 we learn more about history of class number:

- 1773 idea of Lagrange for reducing binary quadratic forms to count inequivalent forms,
- 1801 Gauss extended to forms with negative determinant
- 1839 Dirichlet used L-series of Euler (this also is what Dirichlet used to prove other difficult things like arithmetic progression we mentioned in §9.9)
- modular functions: periodic in  $\mathbb{C}$ -plane, if  $ad-bc = \pm 1$   
$$j\left(\frac{az+b}{cz+d}\right) = j(z)$$

So  $j$  is funct. of "lattice shapes"  
(See p. 237) (well 238 1<sup>st</sup> paragraph)
- Kronecker ~~1857~~ (1857) found class # of  $\mathbb{Q}(\sqrt{d})$  is  $j(\sqrt{d})$ . For example,  
degree of  $j(i) = 1728 \leftarrow$  degree 1  
Hence  $\mathbb{Z}[i]$  has one shape of ideal.

- Cox's book should be in our Library  
some time soon if you're interested  
in more...

## § 12.8 PRIMES OF THE FORM $x^2 + 5y^2$

(7)

Observations about  $\mathbb{Z}[\sqrt{-5}]$  (see p. 233-234 for details)

- primes of form  $x^2 + 5y^2$  have form  $20n+1$  or  $20n+9$
- $-5$  is square mod  $p$  when  $p = 20n+1$  or  $20n+9$   
( $p$  a prime)

**Th<sup>m</sup>:** Primes of the form  $x^2 + 5y^2$  are precisely those of the form  $20n+1$  or  $20n+9$

Proof: we need to show primes of form  $20n+1$  or  $20n+9$  are of the form  $x^2 + 5y^2$ . The proof is by the arithmetic of ideals of  $\mathbb{Z}[\sqrt{-5}]$ . We'll follow the calculation of 234-235. It's nice & clear: